

# 申請註冊為專門行業承造商 Application for Registration as Specialist Trade Contractor



填寫本表格前·請細閱註冊專門行業承造商名冊規則及程序(下稱「規程」)·請登入註冊制度網頁 <a href="http://www.rstc.cic.hk">http://www.rstc.cic.hk</a> 下載。請以正楷填寫·並在適當方格內加上『✓』號。Please carefully read the Rules and Procedures for Register of Specialist Trade Contractors ("R&P"), which can be downloaded at Scheme's website at <a href="http://www.rstc.cic.hk">http://www.rstc.cic.hk</a>. Complete in BLOCK LETTERS and tick the appropriate boxes.

## 1. 申請詳情 Details of Application

	業(行業代碼) ated Trade (Trade Code)	申請種類 Type of Application (每一項指定行業只可圈一項 Circle one item per Designated Trade only): 新申請 New Application (N), 續期 Renewal of Registration (R) 更改組別 / 註冊身份 / 指定行業 Change grouping / Registration Status / Designated Trade (C) 根據最新註冊要求,提交補充資料 Submit supplementary information in accordance with the latest registration requirement (CS)	組別 / 註冊身份 Grouping/Registration Status (每一項指定行業只可圈一項 Circle one item per Designated Trade only): 第 1 組別 Group 1 (G1) 第 1 組別(試用) Group 1 (Probationary) (G1-P) 第 2 組別 Group 2 (G2)
	澆灌混凝土 Concreting (S01)	(N) / (R) / (C) / (CS)	(G1) / (G1-P) / (G2)
_	混凝土模板 Concreting Formwork (S02)	(N) / (R) / (C) / (CS)	(G1) / (G1-P) / (G2)
	玻璃幕牆 Curtain wall (S03)	(N) / (R) / (C) / (CS)	(G1) / (G1-P) / (G2)
	拆卸 Demolition (S04)	(N) / (R) / (C) / (CS)	(G1) / (G1-P) / (G2)
	安裝混凝土預製構件 Erection of Concrete Precast Component (S05)	(N) / (R) / (C) / (CS)	(G1) / (G1-P) / (G2)
	扎鐵 Reinforcement Bar Fixing (S06)	(N) / (R) / (C) / (CS)	(G1) / (G1-P) / (G2)
	棚架 Scaffolding (S07)	(N) / (R) / (C) / (CS)	(G1) / (G1-P) / (G2)
	泥水 Plastering (S08)	(N) / (R) / (C) / (CS)	(G1) / (G1-P) / (G2)
	天花吊頂* Suspended Ceiling* (S09)	(N) / (R) / (C) / (CS)	(G1) / (G1-P) / (G2)
	塔式起重機 (架設、拆卸和更改高度)* Tower Crane (Erecting, Dismantling, Altering Height)* (S10)	(N) / (R) / (C) / (CS)	(G1) / (G1-P) / (G2)

<sup>\* 2022</sup> 年 4 月 1 日起正式生效 Effective from 1st April 2022.

2. 公司資料 Company Information 註冊專門行業承造商註冊編號 (如有) Registration No. of Registered Specialist Trade Contractor (If ar	ny) C
公司名稱 Name of Company	
(中文 Chinese)	(英文 English)
通訊地址 Correspondence Address	
(中文 Chinese)	
(英文 English)	
電話號碼 Tel. No 傳真號碼 Fax. No.	商業登記證號碼 Business Registration Certificate No. (首 8 個數字 First 8 digits)
電郵地址	法律地位 Business Status
E-mail Address	法人團體 (適用於有限公司 proprietorship Partnership (Applicable to Limited Compa
聯絡人姓名 Name of Contact Person	通訊語言 Communication Language
(中文 Chinese)	中文 Chinese 英文 English
(英文	聯絡人電郵地址 Email Address of Contact Person
English)	
■ 請附上下列公司答料語明文件副本 Please provide copies of the following s	supporting documents for Company Information —

請附上下列公司資料證明文件副本 Please provide copies of the following supporting documents for Company Information · 有效的商業登記證 Effective Business Registration Certification

- · 公司註冊處表格 NAR1 證明公司董事身份(適用於有限公司) 或 稅務局簽發的商業登記冊資料摘錄核證本(IRBR 152 號表格)證明東主/合伙人身份(適用於非有限公司) Form NAR1 of Companies Register to prove the identity of director(s) (for incorporated companies) OR Certified Extracts of Information on Business Register issued by the Inland Revenue Department (Form IRBR 152) to prove the identity of sole-proprietor / partners (for unincorporated companies)

3. 管理及全職認可安全資格人員 (所需數量・請參考規程附表 2 )
Management and Full-time Qualified Safety Personnel (Please refer to Schedule 2 of the R&P for required numbers)

姓名	名(姓氏先行)Name (Surname First)	香港身份證 HKID	身份(可選多項) Capacity (Multiple Selection Allowed)
1	(中文 Chinese)	(首 4 個數字 First 4 digit)	□ 公司董事 Company Director   □ 技術人員 Technical Staff
	(英文 English)		□ 全職安全督導員 Full-time Safety Supervisor
	*安全督導員證書頒發機構 Safety Supervisor Certificate issued by: □ 建造業議會 CIC □ 職業安全健康局 OSHC □ 其他 Others:	證書編號 Certificate #:	簽發日期(日/月/年) Issue Date (DD/MM/YYYY)
2	(中文 Chinese) (英文 English)  *安全督導員證書頒發機構 Safety Supervisor Certificate issued by: □ 建造業議會 CIC □ 職業安全健康局 OSHC	(首 4 個數字 First 4 digit) 證書編號 Certificate #:	□ 公司董事 Company Director   □ 技術人員 Technical Staff   □ 全職安全督導員 Full-time Safety Supervisor <u>簽發日期(日/月/年) Issue Date (DD/MM/YYYY)</u>
	□ 其他 Others:		
3	(中文 Chinese)  (英文 English)  *安全督導員證書頒發機構 Safety Supervisor Certificate issued by: □ 建造業議會 CIC □ 其他 Others:	(首 4 個數字 First 4 digit) 證書編號 Certificate #:	□ 公司董事 Company Director   □ 技術人員 Technical Staff   □ 全職安全督導員 Full-time Safety Supervisor
4	(中文 Chinese) (英文	(首 4 個數字 First 4 digit)	□ 公司董事 Company Director Î □ 技術人員 Technical Staff Î
	English)  #安全督導員證書頒發機構 Safety Supervisor Certificate issued by:  □ 建造業議會 CIC □ 其他 Others:	證書編號 Certificate #:	□ 全職安全督導員 Full-time Safety Supervisor 簽發日期(日/月/年) Issue Date (DD/MM/YYYY)
5	(中文 Chinese)  (英文 English)  *安全督導員證書頒發機構 Safety Supervisor Certificate issued by: □ 建造業議會 CIC □ 其他 Others:	(首 4 個數字 First 4 digit)  證書編號 Certificate #:	□ 公司董事 Company Director   □ 技術人員 Technical Staff   □ 全職安全督導員 Full-time Safety Supervisor   簽發日期(日/月/年) Issue Date (DD/MM/YYYY)
6	(中文 Chinese)  (英文 English)  *安全督導員證書頒發機構 Safety Supervisor Certificate issued by:  □ 建造業議會 CIC □ 其他 Others:	(首 4 個數字 First 4 digit) 證書編號 Certificate #:	□ 公司董事 Company Director   □ 技術人員 Technical Staff   □ 全職安全督導員 Full-time Safety Supervisor
	如空位不敷應用.請附加本頁填寫 If space is insufficient, please fill in	n the additional page(s)	另加附加頁 張 Additional Pages

公司工程紀錄(申請日期3年內)(所需合約金額,請參考規程附表2) 4. Company Track Record (Within 3 years before date of application) (Please refer to Schedule 2 of the R&P for required contract / sub-contract sum) 項目名稱: 工地位置: Project Title: Site Location: 項目業主的名稱: 總承建商名稱: Project Owner's Main Contractor's Name: Name: 分包合約名稱 Sub-contract title (必須與申請指定行業相關 Shall be relevant to the applied Designated Trade): 締約雙方名稱: Name of Contract **Parties** 開始日期 完成日期 Commencement Date: Completion Date: 合約 / 分包合約金額(港幣) Contract /Sub-contract Sum (HK\$): 工地位置: 項目名稱: Project Title: Site Location: 項目業主的名稱: 總承建商名稱: Project Owner's Main Contractor's Name: Name: ·分包合約名稱 Sub-contract title (必須與申請指定行業相關 Shall be relevant to the applied Designated Trade): 締約雙方名稱: Name of Contract **Parties** 開始日期 完成日期 Commencement Date: Completion Date: 合約 / 分包合約金額(港幣) Contract /Sub-contract Sum (HK\$): 工地位置: 項目名稱: Project Title: Site Location: 項目業主的名稱: 總承建商名稱: Project Owner's Main Contractor's Name: Name: ·分包合約名稱 Sub-contract title (必須與申請指定行業相關 Shall be relevant to the applied Designated Trade): 締約雙方名稱: Name of Contract **Parties** 開始日期 完成日期 Completion Date: Commencement Date: 合約 / 分包合約金額(港幣) Contract /Sub-contract Sum (HK\$): 申請人現授權建造業議會秘書處就上述項目 1 / 2 / 3 (請圈出合適項目)向總承建商索取本司表現報告(如有需要)(請在此提供總承建聯絡人姓名、職位、 電話、電郵等資訊) Applicant hereby authorised the CIC Secretariat to obtain performance report(s) for Project 1 / 2 / 3 (Please circle the relevant number) from the Main Contractor(s) (If necessary) (Please also provide contact person's name, job title, telephone & email address of the Main Contractor(s)) below:

\*口請參考規程附表 5 並附上滿意表現證明及合約的相關部分顯示合約金額及主要工作範疇 Please refer to Schedule 5 of the R&P and provide proof of satisfactory performance and relevant part of the contract showing contract value and main work scope

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If space is insufficient, please fill in

另加附加頁

## 5. 長工制聘用工人/學徒(所需數量·請參考規程附表 2)

Long Term Employment Workers / Apprentices (Please refer to Schedule 2 of the R&P for required numbers) 身份 Capacity (請圈出項目 Please circle item): 熟練技工 skilled workers (大工 RSW) 香港身份證 HKID 姓名 (姓氏先行) Name (Surname First) 半熟練技工 Semi-skilled workers (中工 RSS) <sup>#</sup>學徒 Apprentice (APT) 1 (中文/英文 (首 4 個數字 First four digit) (大工 RSW) / (中工 RSS) / (<sup>#</sup>學徒 APT) Chinese / English) 建造業工人註冊證編號 Construction Workers Registration Card No. 有效日期(日/月/年) Expiry Date (DD/MM/YYYY) **CWR** 2 (中文/英文 (首 4 個數字 First four digit) Chinese / English) (大工 RSW) / (中工 RSS) / (<sup>#</sup>學徒 APT) 建造業工人註冊證編號 Construction Workers Registration Card No. 有效日期(日/月/年) Expiry Date (DD/MM/YYYY) **CWR** (中文 /英文 (首 4 個數字 First four digit) (大工 RSW) / (中工 RSS) / (<sup>#</sup>學徒 APT) Chinese / English) 建造業工人註冊證編號 Construction Workers Registration Card No. 有效日期(日/月/年) Expiry Date (DD/MM/YYYY) **CWR** (首 4 個數字 First four digit) (中文/英文 (大工 RSW) / (中工 RSS) / (<sup>#</sup>學徒 APT) Chinese / English) 建造業工人註冊證編號 Construction Workers Registration Card No. 有效日期(日/月/年) Expiry Date (DD/MM/YYYY) **CWR** (中文/英文 (首 4 個數字 First four digit) Chinese / English) (大工 RSW) / (中工 RSS) / (<sup>#</sup>學徒 APT) 建造業工人註冊證編號 Construction Workers Registration Card No. 有效日期(日/月/年) Expiry Date (DD/MM/YYYY) **CWR** (中文/英文 (首 4 個數字 First four digital) Chinese / English) (大工 RSW) / (中工 RSS) / (<sup>#</sup>學徒 APT) 建造業工人註冊證編號 Construction Workers Registration Card No. 有效日期(日/月/年) Expiry Date (DD/MM/YYYY) **CWR** (中文/英文 (首 4 個數字 First four digit) (大工 RSW) / (中工 RSS) / (<sup>#</sup>學徒 APT) Chinese / English) 建造業工人註冊證編號 Construction Workers Registration Card No. 有效日期(日/月/年) Expiry Date (DD/MM/YYYY) **CWR** 8 (中文/英文 (首 4 個數字 First four digit) Chinese / English) (大工 RSW) / (中工 RSS) / (<sup>#</sup>學徒 APT) 建造業工人註冊證編號 Construction Workers Registration Card No. 有效日期(日/月/年) Expiry Date (DD/MM/YYYY) **CWR** 如空位不敷應用,請附加本頁填寫 另加附加頁 張 If space is insufficient, please fill in the additional page(s) Additional Pages

<sup># □</sup> 請附上學徒證明 Please provide proof of apprenticeship

	Finance (Please refer to Schedule 2 of the R&	P for required amount of capital)				
業務	資本 Employed Capital (港幣 HK\$)	營運資金 Working Capital (港幣 HK\$)				
	請附上核數師審計報告副本 Please provide copy of audited fina	ancial statements				
7.	費用 (所需費用·請參考規程附表 4) Fees (Please refer to Schedule 4 of the R&P fo	r required fees)				
	新申請 / 續期 New Application / Renewal of Reg	gistration (港幣 HK\$ 1,300)				
	更改組別 / 註冊身份 / 指定行業 Change Grouping / Registration Status / Designated Trade (港幣 HK\$ 370)					
	如超過一種申請·請分別開列支票(抬頭人為「建設Applicants who submit for more than one type CONSTRUCTION INDUSTRY COUNCIL) in payme	e of applications should issue a separate cheque (payable to				

財務(所需資本,請參考規程附表2)

6.

註冊承諾書 Registration Undertaking 8.

簽署日期:

申請人只須簽署中文版或英文版。 Applicant to execute either the Chinese version or English version.

# 註冊承諾書

				HT 1115	(1, hH 🗎				
本承					(「申請公司名稱	」)於	年	月	E
序文 (A) (B) (C)	申請力	人已閱讀註冊專	E冊專門行業承造商名 即行業承造商名冊規 《諾書所使用的詞彙》	規則及程序(	•	同的意義。			
<ol> <li>2.</li> </ol>	本人, 本人, 在法律 員會,	/ 我們在申請書 / 我們會遵守規 建允許的範圍內 及上訴小組所作	人的申請的代價·申 內所提供的資料必定 則及程序·包括註冊 引·對於本人/我們的 日式維持不變的任何 計約條文或其他規條的	它準確無訛, 冊專門行業項 的註冊申請 何有關規管?	而有關的證明文件 《造商制度專責委員 所直接或間接引起的 行動的決定)・本ノ	會(專責委員 的事宜(包括 人/公司不可	但不限於和 撤銷地及無	川潤損失和 無條件地放	專責委 棄根據
4.	本人, (i) (ii) (iii) (iv) (v) (vi) (vii)	管理及處理記 資格); 議會及/或份 改善有關註冊 維持名冊的記 根據規則及程 調查或檢控份	己錄・並將相關資料( 呈序所作的發表・包)	的申請及所 的統計、研究 儲存在可供。 括但不限於	有與註冊專門行業方名、調查及分析; 公衆人士經由互聯紹 在註冊制度網站發表	承造商進行的 新造商進行的 開查閱的註冊	事務及往遠 事門行業承	爱(包括維 <u>:</u>	
<ul><li>5.</li><li>6.</li><li>7.</li></ul>	關申記本人,	青。 ′ 我們須遵從規	向議會提供完整的資 則及程序中的所有必 1所信・在本申請表成	必須要求、傾	系款及細則。				考慮有
申請姓名	<b>:</b>	受權簽署者							

公司印章

# **REGISTRATION UNDERTAKING**

		REGISTRATIO	JIN UNDEKTAKIN	IG		
THIS	S UNDERTAKING is	made			(date) by	/
			( '	"Company	Name of the Applica	ant").
	The Applicant has Rules and Procedu	doubt, the terms used in this	ures for the Register	of Specia	list Trade Contracto	
In cc 1. 2. 3.	The information p I / We shall be all Committee on Red I / We to the full ex I / we may have Secretariat and its indirectly accrue of	CIC processing this application is provided in this application is pided by the Rules and Processistered Specialist Trade Content permitted by law, irrevo (whether under common laws staff, members of the Common arise out of or in connection any decisions on regulatory	accurate and the supedures, including an tractors Scheme (the cably and unconditionally, statute, contract mittee, or members con with my / our appliance.	pporting do y amendme Committed onally waive tor otherwood of Appeal F lication, inc	ocuments are auther nents thereof made re); e and forgo any clain wise) against the Cl Panels, that may dire cluding, but not limi	ms that IC, the ectly or ted to,
<ol> <li>4.</li> <li>5.</li> <li>6.</li> <li>7.</li> </ol>	personal informat  (i) managing transaction of the regis  (ii) statistics, re  (iii) improving (iv) maintainin available to  (v) publication the registra  (vi) investigation (vii) disclosure I / we understand process and / or of I / we should com	esearch, surveys and analysis the Scheme; g the Register and posting to the public; in in accordance with these Ruation information on the Scheon or prosecution of any offe to any authorities in relation if it is obligatory to supply the consider my / our application ply with all necessary require formation provided (including	ollowing purposes: ered Specialist Trade tered Specialist Trade conducted by the CI he relevant informat ules and Procedures, eme Website; nce; or to investigation or pro- ne CIC with complete if I / we do not provid- ments, terms and con-	e Contractor c C and / or ion on the including of rosecution e information de comple nditions in	tor's application as ors (including mainted any other third party Scheme Website which without limitation, portion of any offence, on. CIC may be unate information, the Rules and Proce	and all enance  //;  which is costing able to edures.
Au	thorized signatory	of Applicant Company				_
Na	me:					
Sig	ınature:					

<sup>1</sup>Company Name of Applicant

Signing Date:

Company Chop

## 重要提示 IMPORTANT NOTES

## 規則及程序 Rules and Procedures

申請人提出申請前·必須細閱《註冊專門行業承造商制度註冊專門行業承造商名冊規則及程序》(規則及程序)。本提示所使用的詞彙·與規則及程序所用的詞彙有相同的意義。

Applicants should read the "Rules and Procedures for the Register of Specialist Trade Contractors of the Registered Specialist Trade Contractors Scheme" (the Rules and Procedures) before submitting their applications. The terms used in this set of guidance have the same meaning assigned to them in the Rules and Procedures.

## 收集個人資料說明

- 1. 申請人可能向建造業議會〔「議會」〕提供個人資料(包括姓名及聯絡詳情)·作其申請註冊的一部份。所有向議會提供的個人資料·包括《個人資料[私隱]條例》所指的個人資料·只會用於相關議會之活動·均可運用作下列用途:
  - (i) 管理及處理註冊專門行業承造商的申請及所有與註冊專門行業承造商進行的事務及往還(包括維持註冊資格);
  - (ii) 議會及/或任何其他第三者進行的統計、研究、調查及分析;
  - (iii) 改善有關註冊制度;
  - (iv) 維持名冊的記錄·並將相關資料儲存在可供公衆人士經由互聯網查閱的註冊專門行業承造商名冊內;
  - (v) 根據規則及程序所作的發表·包括但不限於在註冊制度網站發表有關註冊資料;
  - (vi) 調查或檢控任何罪行;或
  - (vii) 就任何罪行的調查或檢控向有關當局作披露。

為符合適用法例或規管要求,秘書處倘需要則須披露個人資料。此外,在未得你的事先同意起,議會不會將你的個人資料轉移給任何第三方。

- 為讓你得知最新的議會活動和行業內發展情況,議會將使用你的個人資料,包括你的姓名、電話號碼、郵寄和電郵地址,將有關訓練課程、測試、註冊、活動項目、議會工作和建造業其他方面的最新資訊提供給你。你可選擇是否同意接收上述資訊。若不同意的話,請於下列有關拒收資訊一欄之空格內加上「□」號。
  - □本人不同意日後接收由建造業議會發出有關議會活動和與建造業相關的資訊。
- 3. 有權要求查閱及修正你的個人資料。有關申請須以書面向議會提出、地址為: 九龍觀塘駿業街 56 號中海日升中心 38 樓 (信封面註明「註冊專門行業承造商要求 – 查閱及修改個人資料」)。

## Personal Information Collection Statement

- 1. Applicant may supply personal information (including name and contact details) to the CIC as part of its application for registration. Any personal information that you provide to the CIC, including any personal data as defined in the Personal Data (Privacy) Ordinance will be used solely for the purposes related to the activities of the CIC, particularly:
  - (i) managing and processing the Registered Specialist Trade Contractor's application and all transactions and dealings with the Registered Specialist Trade Contractors (including maintenance of the registration);
  - (ii) statistics, research, surveys and analysis conducted by the CIC and / or any other third party;
  - (iii) improving the Scheme;
  - (iv) maintaining the Primary Register and posting the relevant information on the Scheme Website which is available to the public;
  - (v) publication in accordance with these Rules and Procedures, including without limitation, posting the registration information on the Scheme Website;
  - (vi) investigation or prosecution of any offence; or
  - (vii) disclosure to any authorities in relation to investigation or prosecution of any offence.

The CIC may disclose personal information if required to satisfy applicable legal or regulatory requirements. Otherwise, the CIC will not transfer your personal data to any third parties without your prior consent.

- 2. To keep you informed of CIC activities and industry developments which may be of interest, the CIC would like to use your personal data, including your name, phone number and correspondence and email addresses, to update you in relation to training courses, trade testing, registration, CIC events and other aspects of its work and the construction industry. You are free to decide whether you wish to receive such information. If you choose not to do so, please put a tick in the box below.
  - ☐ I do not wish to receive any information from the CIC in relation to its activities or developments in the construction industry.
- 3. You are also entitled to request access to and correction of any errors in your personal data. If you wish to do so please write to the CIC at: 38/F, COS Centre, 56 Tsun Yip Street, Kwun Tong, Kowloon
  - (Please mark "Registered Specialist Trade Contractor Request access to and correction of personnel data" on the envelope)

#### 呈交方法 Submission Methods

本表格連同支票及有關文件應郵寄或親身呈交至建造業議會。

This form together with cheque and the relevant documents shall be posted to or submitted in person to the Construction Industry Council.

郵寄 九龍觀塘駿業街 56 號中海日升中心 38 樓 (信封面註明「申請註冊為專門行業承造商」)

By Post to 38/F, COS Centre, 56 Tsun Yip Street, Kwun Tong, Kowloon

(Please mark "Application for Registration as Specialist Trade Contractor" on the envelope)

電郵

enquiry-rstc@cic.hk

親臨 親臨議會下列服務中心(服務時間參考議會網頁):

In Person at ONE of the CIC Service Centres (Please refer to the CIC website for opening hours):

九龍灣 - 九龍灣大業街 44 號 (港鐵九龍灣站 A 出口)

Kowloon Bay - 44 Tai Yip Street, Kowloon Bay, Kowloon (Exit A, MTR Kowloon Bay Station)

南昌 - 港鐵南昌站大堂 6 號舖 (站內 D 出口閘機對面)

Nam Cheong - Shop 6, MTR Nam Cheong Station (Opposite to the gate of Exit D)

## 查詢 Enquiries

電話 Tel 2100 9400 傳真 Fax 2100 9490

網頁 Web http://www.rstc.cic.hk 電郵 Email enquiry-rstc@cic.hk



# 公司董事及技術人員資歷聲明



# Declaration on Qualification of Company Director / Technical Staff

填寫本表格前·請細閱註冊專門行業承造商名冊規則及程序(下稱「規程」)·請登入註冊制度網頁 <a href="http://www.rstc.cic.hk">http://www.rstc.cic.hk</a> 下載。請以正楷填寫·並在適當方格內加上『✓』號。Please carefully read the Rules and Procedures for Register of Specialist Trade Contractors ("R&P"), which can be downloaded at Scheme's website at <a href="http://www.rstc.cic.hk">http://www.rstc.cic.hk</a>. Complete in BLOCK LETTERS and tick the appropriate boxes.

<b>1.</b> 中文	公司董事 Company Direc 姓名 Full Name (Chinese)	tor	英文姓名 Full Name	e (English)
相關	關項目管理經驗(最少 5 年)	Relevant Project Mar	nagement Experiences	s (Min. 5 years)
1	工作期(月份 / 年份) Duration (MM/YY)	僱主 Employer	職位 Job Title	負責工種及合約名稱 Trade Responsible and Name of the Contract
	/			
	總承建商名稱: Main Contractor's Name	締約雙方名稱(甲方): Name of Contracting Parties 1	締約雙方名稱(乙方): Name of Contracting Parties 2	合約金額 Contract Value(HK\$)
2	工作期(月份 / 年份) Duration (MM/YY)	僱主 Employer	職位 Job Title	負責工種及合約名稱 Trade Responsible and Name of the Contract
	/			
	總承建商名稱: Main Contractor's Name	締約雙方名稱(甲方): Name of Contracting Parties 1	締約雙方名稱(乙方): Name of Contracting Parties 2	合約金額 Contract Value(HK\$)
3	工作期(月份 / 年份) Duration (MM/YY)	僱主 Employer	職位 Job Title	負責工種及合約名稱 Trade Responsible and Name of the Contract
	/			
	總承建商名稱: Main Contractor's Name	締約雙方名稱(甲方): Name of Contracting Parties 1	締約雙方名稱(乙方): Name of Contracting Parties 2	合約金額 Contract Value(HK\$)
	如空位不敷應用·請附加z If space is insufficient, pl	本頁填寫 ease fill in the additional p		加附加頁 張 dditional Pages

# 2. 技術人員 Technical Staff

中文姓名 Full Name (Chinese)								英文姓名 Full Name (English)								
建岩	業工人:	註冊證編	<b>= 號 (</b>	^onst	ruction	Worke	ers R	eaist	ration	 Card I	L No					
	WR	HILL HILL HAZ NYR	m 3///C	201130	detion	VVOIR		<u></u>		Curari		/ 熟練工	人或以上(	請註明	3) Trade Division / Skilled worker	or above (pls. specify)
Safe	ety train le ("Sliv	i定行業) iing cou er Card	irse f Coui	or coi rse") (	nstruct Other	ion wo than Co	rkers	of speting	pecified)	d		ficate	Numb		Min. 5 years)	
1	工作期	(月份/ on (MM/	年份		<del>+</del> ) iv	僱主 Emplo		фен	lences	職任		latec	a mad	10 (1	負責工種及合約名稱 Trade Responsible and I Contract	Name of the
	總承建	/			Nar	締約雙方名稱(乙方): Name of Contracting Parties 2			合約金額 Contract Value(HK\$)							
2	工作期(月份 / 年份) Duration (MM/YY)		僱主 Employer			職位 Job Title			負責工種及合約名稱 Trade Responsible and I Contract	Name of the						
	總承建 Main Co	/ 商名稱: ontractor's	s Nam		/	締約隻 Name Parties	of Co			Nar	約雙方和 me of Co ties 2				合約金額 Contract Value(HK\$)	
3	3 工作期(月份 / 年份) Duration (MM/YY)  —_//  總承建商名稱: Main Contractor's Name		僱主 Employer				職位 Job Title				負責工種及合約名稱 Trade Responsible and I Contract	Name of the				
			Name	締約雙方名稱(甲方): Name of Contracting Parties 1		Nar	締約雙方名稱(乙方): Name of Contracting Parties 2			合約金額 Contract Value(HK\$)						
_					請附加本 ient, ple		in the	addit	tional p	age(s)					附加頁 itional	張 Pages

Confidential

# Schedule 5 – Job Reference Reports Standard Proforma

NOTE: Part B and C of this Job Reference Report is to be completed by Main Contractor / Project Owner / Project Consultant. Please read the "Guidance Notes for Job Reference Report" (see overleaf)

# To: Secretariat of the Construction Industry Council (Registration Services)

This section shall be co	ompleted by the	Applicant				
Company Name of the	Applicant:		Registration No. of RSTCS (if any):			
Designated Trade (	Concreting Form	work / Poin	oforcoment R	<u> </u> ar Fixing / Conci	oting /	
_	_			/ Curtain Wall /	eurig /	
			-	spended Ceiling /	Tower Cran	e (Frecting
· ·	Dismantling, Alte		astering / Ou	spended delining /	TOWCI CIAI	ic (Liceting,
[ appropriate)	Districting, 7 tite	ing ricigiti)				
A. Project Information	on					
Project Title:						
Site location:						
Project Owner's						
name:						
Main Contractor's				Main Contractor	S	
name:				Contact Tel. No.	:	
			<u>'</u>			
Sub-contract Title:						
(Shall be relevant to the						
Designated Trade above	e)					
Contracting Parties						
of Sub-contract:						
Commencement			Completio	n		
Date:			Date:			
Contract/Sub-contract						
Sum (HK\$):						
This section shall be co					nsultant	
B. Particular of the N	lain Contractor	/Project Ow		Consultant		
Name:			Position:			
- N						
Company Name:						
Contact No.:			Email add	ress:		
Comact No.:				.000.		
C. Performance (Put	a "tick" where	_ • • • • •	•)			1
Aspects		Very	Good	Satisfactory	Poor	Very
•		Good	0004	Cationationy	1 001	Poor
Workmanship						
Progress						
Site Safety						
Organisation						
Industry Awareness						
muusii y Awareness						
	Overall					
I			1	ĺ		1

# D. Declaration We noted that the Applicant is applying for admission / renewal of registration under the RSTC of the CIC. To facilitate the CIC to considering the application and having referred to the "Guidance Notes for Job Reference Report", we, as the Project Owner / Main Contractor / Project Consultant (delete where not appropriate), hereby declares the performance of the Applicant in Contract/Sub-contract of the Project as shown above. For and on behalf of the Project Owner / Main Contractor / Project Consultant (delete where not appropriate)

(Date)

### **Guidance Notes for Job Reference Report**

(Full name & signature with company chop)

#### 1.0 General

- 1.1 Job reference report is a key element when considering admission and renewal of registration under the Registered Specialist Trade Contractors Scheme (RSTCS) of the CIC. The report writer shall give his / her assessment on individual aspects of performance in an objective and fair manner based on factual information as far as practicable especially for overall or any aspects of performance considered as "Poor" or "Very poor" as further explained in section below.
- 1.2 It is not expected that the weighting given to each individual aspect of performance will be uniform for all types of contracts. The report writer are to use their knowledge of the Contract / Sub-contract in question to reach a conclusion of overall grading to be given.
- 1.3 Noting that Applicant may directly made contract with Project Owner, the "Sub-contract" referred herein will be construed as the direct contract with this Job Reference Report to be completed by Project Owner or Main Contractor accordingly. However, the report writer shall not be the associated company as defined under the Companies Ordinance in relation to the Applicant and declare any conflict of interest, if any.
- 1.4 The Secretariat may seek clarifications or supplemental information, including clarification from the applicant's job referees or relevant Registered Specialist Trade Contractors, from time to time in processing the registration application of Specialist Trade Contractor.

## 2.0 Individual aspects of performance

Aspects	Key Attributes to be considered when assessing performance				
Workmanship	Degree of compliance with the specifications; Keeping of adequate work records;				
	Frequency/extent of re-work/reinstatements of defects				
Progress	Possession of programme with logical sequence of working; Adherence to programme and				
	timeliness of completion				
Site Safety	Provision and implementation of safe system of work; Employment of safety supervisor; Site				
	accident / conviction record; Provision of information, instruction and training to staff				
Organisation	Adequacy of organisation structure and manpower; Provisions of site supervisory staff				
Industry	Employment of registered construction worker, Provisions of training for apprentice and				
Awareness	worker; Provisions of worker welfare and facilities				

#### 3.0 Definition of performance grading

Grade	Expected Performance Level
Very Good	Performance is significantly and consistently better than that required by the Contract /
	Subcontract
Good	Performance attains and is occasionally better than that required by the Contract / Subcontract
Satisfactory	Performance attains that required by the Contract / Subcontract
Poor	Performance is considered to be below that required by the Contract / Subcontract though without serious deficiencies; or where instructions have to be repeatedly issued and the work has consistently to be redone in order to attain a satisfactory level; or the performance of the contractor could only attained that required by the Contract / Subcontract through enhanced supervision effort from upper tier contractor
Very Poor	Performance is considered to be significantly below that required by the Contract / Subcontract; or where instructions have to be repeatedly issued and the work has consistently to be redone but is still unable to attain a satisfactory level

## **Sample Integrity Policy**

("Company") prohibits all forms of bribery and corruption and is committed to integrity, honesty and anti-corruption practices in doing business. All sole proprietor / partners / directors\* and staff (hereafter referred as "personnel") must abide by this integrity policy and the associated company rules / guidelines / code of conduct\*.

- Our Company and all personnel have to observe the Prevention of Bribery Ordinance (Cap. 201), the Competition Ordinance (Cap. 619) and other integrity-related laws in Hong Kong Special Administrative Region.
- Our Company does not allow our personnel to solicit or accept any advantages<sup>1</sup> from any individuals or organisations having business dealings with our Company unless permission is granted for the acceptance.
- Our Company prohibits all personnel from offering advantages to any staff or member of a government department or public body while having dealings of any kind with them. We also prohibit all personnel from offering advantages to any individual of organisations, whether directly or indirectly, for influencing them in any dealing, when conducting business with our Company.
- Our Company's personnel are required to avoid accepting lavish or frequent entertainment from others having business dealings with our Company.
- Our company requires all personnel to avoid any conflict of interest situation, or the perception of such. If unavoidable, the personnel concerned should make a declaration to the approving authority who should decide on the actions for mitigating the conflict.
- Our Company prohibits all personnel from disclosing any classified information without authorisation, and misusing any Company information.
- Our Company has an internal reporting mechanism for our personnel to enquire matters relating to integrity and report possible breaches of integrity requirements.
   Our Company handles these reports promptly and in strict confidence.
- Our Company strictly forbids retaliation against any personnel who, in good faith, reports possible breaches of integrity requirements or who participates in the inquiry / investigation of the allegation.
- Any personnel in breach of integrity requirements will be subject to internal disciplinary action, including termination of appointment and / or referral to relevant law enforcement agencies. Our Company will render full assistance to law enforcement agencies in the investigation of criminal offences.
- Our Company is committed to partner with ethical business counterparts who share the same value and commit to the same integrity standard.

Signature(s) of 7	Гор Management :	
• ( )	Date :	

<sup>&</sup>lt;sup>1</sup> Advantage is defined under the Prevention of Bribery Ordinance (Cap. 201) covering any gift, loan, fee, reward, commission, office, employment contract, discharge from obligation/liability/loan, service and favour, exercise or forbearance from exercise of right/power/duty, etc.

<sup>\*</sup> delete as appropriate